

**This Page Is Inserted by IFW Operations  
and is not a part of the Official Record**

## **BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- **BLACK BORDERS**
- **TEXT CUT OFF AT TOP, BOTTOM OR SIDES**
- **FADED TEXT**
- **ILLEGIBLE TEXT**
- **SKEWED/SLANTED IMAGES**
- **COLORED PHOTOS**
- **BLACK OR VERY BLACK AND WHITE DARK PHOTOS**
- **GRAY SCALE DOCUMENTS**

**IMAGES ARE BEST AVAILABLE COPY.**

OPIC  
OFFICE DE LA PROPRIÉTÉ  
INTELLECTUELLE DU CANADA



Ottawa Hull KIA 0G9

CIPO  
CANADIAN INTELLECTUAL  
PROPERTY OFFICE



(21) (A1) 2,193,158  
(22) 1996/12/17  
(43) 1997/06/22

(51) Int.Cl. <sup>6</sup> C03C 17/23

(19) (CA) APPLICATION FOR CANADIAN PATENT (12)

(54) Method for the Pyrolytic Coating of Glass and Glass  
Ceramics

(72) Krohm, Hans-Günther - Germany (Federal Republic of) ;  
Vallerien, Sven-Uwe - Germany (Federal Republic of) ;

(71) Th. Goldschmidt AG - Germany (Federal Republic of) ;

(30) (DE) 195 47 848.7 1995/12/21

(57) 5 Claims

Re 08/669,756

{ English language  
Counterpart of  
EP 0 780 346 A1  
(Ref. AM)

Notice: This application is as filed and may therefore contain an  
incomplete specification.



Industrie  
Canada

Industry  
Canada

SPC CPO 181

Canada

2193158

ABSTRACT OF THE DISCLOSURE

Disclosed is a method for the pyrolytic preparation of transmission-reducing layers consisting of antimony oxide-doped tin oxide onto glass and glass ceramics, where the antimony oxide-doped tin oxide layer contains tin and antimony in a molar ratio of 1:0.2 to 1:0.5.

2193158

1

METHOD FOR THE PYROLYTIC COATING  
OF GLASS AND GLASS CERAMICS

Field of the Invention

5

The invention relates to a method for the manufacture of pyrolytic layers on glass and glass ceramics resulting in a reduction of the optical transmission.

10

Background of the Invention

Glass that has an optical transmission in the visible range of less than 10% is used in many applications as glazing for protection against solar radiation, for example, in car glazing, particularly in glass sliding roofs (sun roofs or privacy glass).

Industrially, this requirement has been solved so far by the use of polymeric decorative films and/or ceramic silk screen prints which reduce the optical transmission by means of a pin diaphragm-type pattern (JP 88-272039). However, this method is not satisfactory from an industrial point of view, because the production process for laminated films is expensive and if films are glued to one side of a glass surface they separate over time resulting in a worsening of the appearance and also of the function.

It is standard knowledge of persons skilled in the art that glass tinted throughout its mass, which has an optical transmission of less than 10%, can only be manufactured at very high cost, and not using conventional methods, because the melt freezes.

35

1 Such glass types can be melted using very expensive  
industrial electromelting. This glass type is  
commercially available, but it can only be obtained in  
small quantities and at high prices, resulting from  
5 the cost of manufacture. Thus its use is considerably  
limited in cars.

Coatings with cobalt, chrome or iron  
acetylacetonates are the state of the art (for example,  
DE-A-2052069, U.S. Patent No. 4,234,331). However,  
10 these layers do not reduce the optical transmission in  
the visible wavelength range to values of less than  
20%. Glass which has been tinted throughout its mass  
using cobalt and chrome oxides also does not produce  
the desired reduction in transmission (for example,  
15 EP-A-0402685). In DE-A-3940660 values of 58% are  
mentioned for the optical transmission. In DE-A-  
2361744 a light transmission of 40% is indicated.

Sputter coatings processes also do not  
achieve a sufficient decrease in transmission (EP-A-  
20 0258635). In addition, the sputter coating process  
cannot be used on-line and it requires a considerably  
higher consumption of energy because the glass must  
subsequently be heated and introduced into an elevated  
vacuum.

25 Therefore, there is a need for a method  
which makes it possible to produce, in a simple  
manner, layers which absorb in the visible light  
wavelength range, as much as possible. Such a method  
is made available by the invention.

30

35

1

Brief Summary of the Invention

5 The present invention is a method for the  
manufacture of transmission-reducing layers onto glass  
(which term as used herein includes both glass and  
glass ceramics), where a layer consisting of antimony  
oxide-doped tin oxide, which contains tin and antimony  
in a molar ratio of 1:0.2 to 1:0.5, is applied  
pyrolytically onto the hot surface to be coated.

10

Detailed Description of the Invention

15 It is preferred to use, for the purpose of  
the present invention, a solution of tin and antimony  
compounds in an organic solvent and/or water, which  
solution is applied onto the hot surface to be coated,  
followed by the pyrolytic production of a layer made  
of oxides of these elements.

20 It is preferred, in this process, to apply  
the layer in a thickness of 50-1500 nm.

The glass surface that has thus been  
finished has a high optical absorption in the  
wavelength range between 0.300  $\mu\text{m}$  and 0.700  $\mu\text{m}$ . The  
optical transmission here is less than 10%. These  
25 industrial functional values of the antimony oxide-  
doped tin oxide layers so produced are thus  
substantially comparable to conventionally applied  
coatings.

30 The coatings obtained according to the  
invention are dark gray-violet in color on color-  
neutral float glass in daylight.

35

1           Because, in the case of the coating method  
according to the invention, technically proven  
application methods are used, defect-free layers are  
obtained.

5           The application methods are known from the  
coating of substrates with tin oxide. In that  
process, both a reduction of the electrical resistance  
of the surface so coated and an increase in infrared  
reflection are obtained. Industrially, these physical  
10 properties are used for heat-protective glazings or  
for surface heating of window panes, for example, car  
window panes, and refrigerated product display  
glazings.

15           To prepare such layers, suitable tin  
compounds (base compounds) are applied, preferably  
simultaneously with a doping agent, to the glass  
surface that has been heated to 400-800°C. The base  
tin compound forms a cohesive tin(IV) oxide layer on  
the surface of the glass or the glass ceramic.  
20 Fluorine, in particular, used as doping agent,  
increases the electrical conductivity and results in  
high infrared reflection. It is particularly easy to  
apply by spraying a suitable tin-containing solution  
for the application of the tin oxide layers onto the  
25 surfaces (see, for example, DE-A-3915232 and DE-A-  
3735574).

30           An additional known method for the pyrolytic  
coating of glass surfaces is the CVD process (chemical  
vapor deposition). In that process, the starting  
compounds in the vapor form are contacted with the  
glass surface (see, for example, DE-A-2361702).

1 Furthermore, it has become a proven  
technique to apply the starting compounds in the form  
of powders onto the substrate to be coated. Reference  
is made, as an example of the apparatus setup for  
5 industrial powder application, to EP-A-0095765.

Another object of the invention is a  
preparation for pyrolytic application of a  
transmission-reducing layer onto glass and glass  
ceramic, which contains:

10 (a) 97-70 parts by weight of one or more tin  
compounds, and

(B) 3-30 parts by weight of one or more  
antimony compounds and 0-60 wt%, with respect to a +  
b, of an organic solvent or solvent mixture and/or  
15 water.

Suitable tin and antimony compounds are, in  
particular, those that can be dissolved in water or  
organic solvents, or that can be vaporized without  
difficulty. Those compounds that are easy to  
20 transform into a fine-particle shape and that do not  
tend to form clumps are particularly suitable for  
powder application.

Examples of suitable tin-containing  
compounds are: tin tetrachloride, alkyltin trichloride  
25 (for example, monobutyltin chloride), dialkyltin  
dichloride (for example, dibutyltin dichloride),  
monoalkyltin oxide (for example, monobutyltin oxide),  
dialkyltin oxide (for example, dibutyltin oxide),  
monoalkyltin tricarboxylates (for example,  
30 monobutyltin triacetate), dialkyltin dicarboxylates  
(for example, dibutyltin diacetate), trialkyltin



1 carboxylate (for example, tributyltin acetate),  
dichlorotin dicarboxylates (for example, dichlorotin  
diacetate), aqueous, alcohol or ketone tin (IV) acid  
5 salts or mixtures of the above-mentioned tin-  
containing compounds. The alkyl groups and the  
carboxylates preferably contain 1 to 8 carbon atoms.

Examples of suitable antimony compounds are:  
antimony (III) chloride, antimony (V) chloride,  
10 antimony (III) oxide, antimony (IV) oxide, antimony  
(V) oxide, antimony (III) fluoride, antimony (V)  
fluoride, antimony oxychlorides, hexachloroantimonic  
acid, antimony alcoholates, and antimony  
acetylacetones. The alcoholates preferably contain 1  
to 6 carbon atoms.

15 Possible organic solvents include alcohols  
(methanol, ethanol, isopropanol, butanol), ketones  
(acetone, methyl ethyl ketone, methyl isobutyl  
ketone), esters (acetic acid ethyl ester, acetic acid  
butyl ester) and/or water. As used herein, the term  
20 "organic solvent" includes individual compounds well  
as mixtures thereof.

In selecting the compounds, the  
intercompatibility of the components should be taken  
into account. The technical conditions employed, such  
25 as, for example, spraying apparatus or application  
using a vaporization apparatus, and the glass  
temperature or production rate, determine the type and  
concentration of the substances used in this coating  
formulation.

30 The preparation of the coating formulation  
is carried out in a simple manner by mixing in an

1 appropriate stirring vessel, where care must be taken  
that the coating formulation does not become  
excessively heated and, in the case of solutions, that  
no precipitation occurs. Ideally, the temperature  
5 should be kept clearly below the boiling point of the  
components.

The proportions of the components can, as  
indicated, vary within a broad range. However, the  
components must be present in a sufficient quantity in  
10 each case to meet the requirements of an industrial  
application, such as, for example, suitability for  
dosing and suitability for spraying.

The selection is based on the type and the  
composition of the substrate to be coated and on the  
15 industrial coating conditions.

Suitable solutions are, for example:

35.3% tin(IV) chloride  
12.0% antimony(III) chloride  
52.7% ethanol

20 43.25% butyltin trichloride  
12.0% antimony(III) chloride  
44.75% ethanol

25 60.0% butyltin trichloride  
25.0% antimony(V) chloride  
5.0% ethyl acetate  
10.0% ethanol

30 54.5% dichlorotin diacetate  
22.0% antimony(V) chloride

35

1           23.5% ethyl acetate  
          43.25% butyltin trichloride  
          12.0% antimony(III) chloride  
          44.75% ethanol

5           53.8% dibutyltin dichloride  
          15.9% antimony (III) chloride  
          30.3% butanol

10          80% butyltin trichloride  
          20% antimony (III) chloride

          To carry out the coating method according to  
the invention, the preparation according to the  
15   invention is applied in the spray methods, CVD methods  
(chemical vapor deposition) or powder coating methods  
onto a surface which has first been heated. The  
temperature of the substrate should be 400-800°C, but  
the temperature should be less than the melting or  
20   softening temperature of the substrate in each case.  
In this process, a thin layer consisting of metal  
oxides of the metal components used develops on the  
hot surface as a result of oxidation and thermal  
decomposition. The solvent evaporates and/or  
25   decomposes.

          A tin oxide/antimony oxide functional layer  
is thus produced on the surface as a result of  
pyrolysis. The thickness of this coating can be  
varied between 0.05  $\mu\text{m}$  and 1.5  $\mu\text{m}$  by dosing the  
30   quantities of the applied solution/mixture/powders.  
The molar ratio of tin to antimony determines the

35

- 1 reduction of the transmission for a given layer  
thickness. To achieve an optical transmission as low  
as possible, a molar ratio of 1:0.2 to 1:0.5,  
preferably 1:0.4 (tin:antimony) has been shown to be  
5 advantageous in the coating mixture.

The following examples are provided to  
further explain the invention.

Example 1

- 10 A solution was prepared which contained:  
43.25% butyltin trichloride  
8% antimony (III) chloride  
48.75% ethanol
- 15 The solution was applied by spraying onto a  
flat glass disk (160 mm x 180 mm x 6 mm), which had  
first been heated for 5 min at an oven temperature of  
approximately 700°C, and which had been introduced by  
means of a pneumatic lift-off rotary installation into  
20 a spraying compartment with exhaust.
- The glass plate which had been coated in  
this manner with a hand-held Walther spray gun (nozzle  
diameter 0.8 mm, spray pressure 1.5 b, spray distance  
approximately 35 cm, spray quantity 8 mL) presented  
25 the following values, with the above-mentioned spray  
quantities, after annealing, pressure reduction and  
cooling (Gardener Hazemeter HAZE-GARD Plus (according  
to ASTM D1003-61) and Beckman Instruments DU 60):  
Optical transmission: 8.8%

30

35

1 Example 2

The procedure of Example 1 was repeated with  
a solution of:

- 5 34.3% butyltin trichloride  
14% antimony (III) chloride  
51.7% ethanol

The optical transmission (HAZE-GARD Plus)  
was determined to be 9.2%.

- 10 The disks which had been coated in this way  
could be annealed and bent without problem. Only if  
the bending radii were less than 1 m could very fine  
microcracks be observed for the first time under an  
optical microscope, however, industrially, bending  
15 radii on the order of magnitude of approximately 5 m  
are usually used.

Example 3

- 20 (CVD technique)

In a 250-mL four-neck flask the following  
solution was introduced which contained

- 24.1% antimony (III) chloride  
25 75.9% butyltin trichloride.

- Using gas-stream heating, dried compressed  
air was introduced through a neck into the flask and  
passed over the surface of the liquid. The  
30 temperature in the gas phase of the interior of the  
flask was approximately 140°C. The rate of

1 evaporation in the flask was approximately 71 g/h.  
Using another flask neck, the gas stream, which had  
been enriched with the vaporized components, was fed  
through a glass pipe onto the surface of a glass disk  
5 which had first been heated in a glazing furnace to  
690°C.

A glass disk which had been coated in this  
manner had the following functional value:

Transmission (HAZE-GARD Plus): 9.2%

10

Example 4

(Direct application of powders)

15 Using a vibrating feed chute (model DR1000  
from the Retsch Company) a mixture of  
69 parts of monobutyltin oxide and  
31 parts of antimony (III) chloride,  
was applied onto a glass surface which had been heated  
20 to 650°C. A cohesive metal oxide film formed. This  
operation was then repeated an additional three times  
(heating time 5 min, powdering). After the annealing  
and pressure reduction, the disk so coated had the  
following functional value:

25 Transmission (HAZE-GARD Plus): 9.5%

30

35

1. CLAIMS

1. A method for the formation of a transmission-reducing layer on a glass surface, comprising heating said surface and applying to said heated surface a layer of a mixture of one or more antimony compounds and one or more tin compounds having a molar ratio of tin:antimony of 1:0.2 to 1:0.5, and pyrolyzing said mixture on said surface whereby a layer is formed consisting of antimony oxide-doped tin oxide.
2. A method according to Claim 1, wherein the layer is applied in a thickness of 50-1500 nm.
3. A method according to Claim 1 wherein the mixture applied to said surface is a solution of tin and antimony compounds in an organic solvent, water, or a mixture thereof.
4. A method according to Claim 2 wherein the mixture applied to said surface is a solution of tin and antimony compounds in an organic solvent, water, or a mixture thereof.
5. A composition useful in the pyrolytic formation of a transmission-reducing layer onto glass, which consists of:
- a) 97-70 parts by weight of one or more tin compounds and
  - b) 3-30 parts by weight of one or more antimony compounds and
  - c) 0-60 wt%, with respect to a + b, of an organic solvent, water, or a mixture thereof.